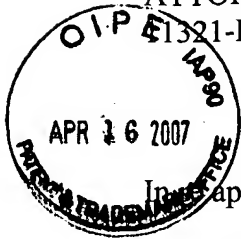


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ATTORNEY DOCKET NO.

11321-P066WOUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of: Valery N. Khabashesku

Serial No.: 10/559,905

Filing Date: December 8, 2005

Art Unit: 1754

Examiner: Unknown

Title: *Fabrication of Carbon Nanotube Reinforced Epoxy Polymer Composites Using Functionalized Carbon Nanotubes*

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Applicant hereby submits the following references in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Copies of the references cited in the attached PTO/SB/08B are enclosed for the examiner's reference. Furthermore, pursuant to 37 C.F.R. § 1.97(g) and (h), no representation is made that this is material to patentability of the present application or that a search has been made.

Applicant hereby submits that claims of Applicant's referenced patent application are patentably distinguishable from these references.


Applicant does not believe that any fees are due at this time; however, the Director of Patents and Trademarks is hereby authorized to charge any fees relating to this Information Disclosure Statement under 37 CFR § 1.17 to Deposit Account No 23-2426 of WINSTEAD SECHREST & MINICK P.C. (referencing matter 11321-P066WOUS).

ATTORNEY DOCKET NO.
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Respectfully submitted,

Date:

4/5/07



Sarah S. Bittner

Regis. No. 47,426

AGENT FOR APPLICANT

WINSTEAD SECHREST & MINICK P.C.
P.O. Box 50784
Dallas, Texas 75201
Phone: 713.650.2780
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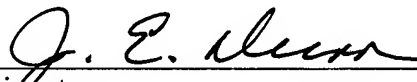
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I hereby certify that the attached *Information Disclosure Statement* and cited art are being deposited with the USPS, with sufficient postage as first class mail, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this the 11th day of April, 2007.

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902072v.1 11321/P066WOUS

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		Filing Date	December 8, 2005		
		First Named Inventor	Valery N. Khabashesku		
		Art Unit	Unknown		
		Examiner Name	Unknown		
Sheet	2	of	8	Attorney Docket Number	11321-P066WOUS

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	10	IJIMA, "Helical microtubules of graphitic carbon," 354 Nature (1991), pp. 56-58	
	11	IJIMA et al., "Single-shell carbon nanotubes of 1-nm diameter," 363 Nature, (1993), pp. 603-605	
	12	BAUGHMAN et al., "Carbon Nanotubes-the Route Toward Applications," 297 Science (2002), pp. 787-792	
	13	BERBER et al., "Unusually High Thermal Conductivity of Carbon nanotubes", 84(20) Phys. Rev. Lett. (2000), pp. 4613-4616	
	14	LOURIE et al., "Evaluation of Young's modulus of carbon nanotubes by micro-Raman spectroscopy", 13 J. Mat. Res. (1998), pp. 2418-2422	
	15	WALTERS et al., "Elastic strain of freely suspended single-wall carbon nanotube ropes," 74 Appl. Phys. Lett. (1999), pp. 3803-3805	
	16	ANDREWS, R., et al., "Nanotube composite carbon fibers," 75 Appl. Phys. Lett. (1999), pp. 1329-1331	
	17	BARRERA, "Key Methods for Developing Single-Wall Nanotube Composites," 52 JOM (2000), pp. 38-42	
	18	AUSMAN et al., "Organic Solvent Dispersions of Single-Walled Carbon Nanotubes: Toward Solutions of Pristine Nanotubes", 104 J. Phys. Chem. B. (2000), pp. 8911-8915	
	19	BAHR et al., "Dissolution of small diameter single-wall carbon nanotubes in organic solvents," Chem. Commun. (2001), pp. 193-194	

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		First Named Inventor	Valery N. Khabashesku		
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	20	LOURIE, et al., "Transmission electron microscopy observations of fracture of single-wall carbon nanotubes..", 73 Appl. Phys. Lett. (1998), pp. 3527-29	
	21	GENG et al., "Fabrication and Properties of Composites of Poly(ethylene oxide)..", 14 Adv. Mater. (2002), pp. 1387-1390	
	22	SCHADLER et al., "Load transfer carbon nanotube epoxy composites", 73 (26) Appl. Phys. Lett. (1998), pp. 3842-44	
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	24	SANDLER et al., "Development of a dispersion process for carbon nanotubes in an epoxy matrix and the resulting electrical properties", 40 Polymer (1999), pp. 5967-71	
	25	VACCARINI et al., "Reinforcement of an Epoxy Resin by Single Walled Nanotubes", 544 AIP Conf. Proc. (2000), pp. 521-525	
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	27	SPINDLER-RANTA ET AL., "Carbon Nanotube Reinforcement of a Filament Winding Resin", SAMPE 2002 Symposium & Exhibition (2002)	
	28	BIERCUK et al., "Carbon nanotube composites for thermal management", 80 (15) Appl. Phys. Lett. (2002), pp. 2767-2769	
	29	TIANO ET AL., "Functionalization of Single-Wall nanotubes for Improved Structural Composites", 32nd SAMPE Conf. (2000)	

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		Filing Date	December 8, 2005		
		First Named Inventor	Valery N. Khabashesku		
		Art Unit	Unknown		
		Examiner Name	Unknown		
Sheet	4	of	8	Attorney Docket Number	11321-P066WOUS

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	30	CALVERT, P., "Nanotube composites: A recipe for strength," 399 Nature (1999), pp. 210-211		
	31	GARG et al., "Effect of chemical functionalization on the mechanical properties of carbon nanotubes", 295 Chem. Phys. Lett. (1998), pp. 275-278		
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	35	BAHR et al., "Covalent chemistry of single-wall carbon nanotubes," 12 J. Mater. Chem. (2002), pp. 1952-1958		
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	38	SHAFFER et al., "Dispersion and Packing of Carbon Nanotubes," 36(11) Carbon (1998), pp. 1603-1612		
	39	HAMON et al., "Dissolution of Single-Walled Carbon Nanotubes," 11(10) Adv. Mater. (1999), pp. 834-840		

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Sheet 5 of 8	Application Number	10/559,905
	Filing Date	December 8, 2005
	First Named Inventor	Valery N. Khabashesku
	Art Unit	Unknown
	Examiner Name	Unknown
	Attorney Docket Number	11321-P066WOUS

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	40	CHEN, J., et al., "Dissolution of Full-Length Single-Walled Carbon Nanotubes", 105 J. Phys. Chem. B (2001), pp. 2525-2528	
	41	RIGGS, J. E., et al., "Strong Luminescence of Solubilized Carbon Nanotubes", 122 J. Am. Chem. Soc. (2000), pp. 5879-5880	
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		Filing Date	December 8, 2005		
		First Named Inventor	Valery N. Khabashesku		
		Art Unit	Unknown		
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	49	O'CONNELL et al., "Band Gap Fluorescence from Individual Single-Walled Carbon Nanotubes", 297 Science (2002), pp. 593-596	
	50	BACHILO et al., "Structure-Assigned Optical Spectra of Single-Walled Carbon Nanotubes", 298 Science (2002), pp. 2361-2366	
	51	STRANO, et al., "Electronic Structure Control of Single-Walled Carbon Nanotube Functionalization," 301, Science, (2003), pp. 1519-1522	
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	58	STEVENS et al., "Sidewall Amino-Functionalization of Single-Walled Carbon Nanotubes through Fluorination and Subsequent Reactions with Terminal Diamines", 3 Nano Lett. (2003), pp. 331-336	

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	59	BRONIKOWSKI et al., "Gas-phase production of carbon single-walled nanotubes from carbon monoxide via the HiPco process: A parametric study," 19 J. Vac. Sci. Technol. A (2001), pp. 1800-1805		
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	63	MAY, C. A., Ed., "Epoxy Resins: Chemistry and Technology", Marcel Dekker, Inc., Publisher, NY (1988)		
	64	KOZLOWSKI et al., "X-Ray Photoelectron Spectroscopic Studies of Carbon Fiber Surfaces..", 25(6) Carbon (1987), pp. 751-760		
	65	JONES, "The Chemistry of Carbon Fibre Surfaces and Its Effect..", 42 Compos. Sci. Tech. (1991), pp. 275-298		
	66	YU et al., "Tensile Loading of Ropes of Single Wall Carbon Nanotubes and Their Mechanical Properties", 84(24) Phys. Rev. Lett. (2000), pp. 5552-5555		
	67	Li et al., "Tensile strength of single-walled carbon nanotubes directly measured from their macroscopic ropes", 77 Appl. Phys. Lett. (2000), pp. 3161-3163		
	68	PENG et al., "Sidewall Carboxylic Acid Functionalization of Single-Walled Carbon Nanotubes," 125 J. Am. Chem. Soc. (2003), pp. 15174-15182		

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	69	Agarwal et al., "Analysis and Performance of Fiber Composites", John Wiley & Sons, Inc, New York, (1990)	
	70	Johnston, "Toughened Composites", ASTM Special Technical Publication 937 (PCN 04-937000-33) (1985)	
	71	Nielsen et al., "Mechanical Properties of Polymers and Composites, 2nd Ed., Marcel Dekker, Inc., NY (1994)	

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